



Integrating Integrity into the Research Process through Training

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National Institutes of Health (NIH)

Primary Federal agency in the United States for conducting and supporting medical research

- Executive Branch, White House
- Department of Health and Human Services (DHHS), *includes the Office of Research Integrity*
- NIH
 - *27 Institutes and Centers*
 - *Over 18,000 employees*





National Institutes of Health

↳ ~ \$30 billion in Congressional appropriations

↳ ~ 80,000 applications/year

↳ ~ 50,000 active grants

- > 325,000 individuals supported
- > 3,000 universities, medical schools, and other research institutions
- 50 states and around the world

↳ ~ 10% of NIH budget supports

> 6,000 intramural scientists





National Institutes of Health

✍ Not regulatory

✍ Promote through partnership

- Responsible conduct of research

<http://grants.nih.gov/training/responsibleconduct.htm>

- Training of young scientists

<http://grants.nih.gov/training/extramural.htm>

- Career development

<http://grants.nih.gov/training/careerdevelopmentawards.htm>

- Peer review

<http://grants.nih.gov/grants/peer/peer.htm>





Honor system in the United States

- ✍ Self-reporting, self-identification
- ✍ Professional responsibility
- ✍ Strong ethical practice
- ✍ Reverence for science
- ✍ Misconduct first handled locally





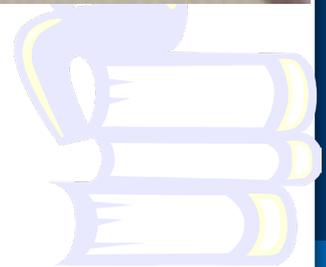
Honor system in the United States

Peer review

- Grant applications
- NIH – 42 CFR 52h
- Manuscripts for publications
- Abstracts for scientific meetings

The role of the press

- New findings, discoveries
- Wrongdoing





NIH Support for Training in Research

- ✎ Ensures diverse pools of highly trained scientists
- ✎ Prepares qualified individuals for careers in health services research





NIH Support for Training in Research

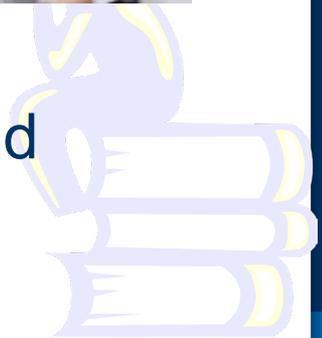
↳ National Research Service Awards (NRSA)

- Individual fellowships
 - Predoctoral (~ 500/yr)
 - Postdoctoral (500–900/yr)
 - Senior fellows (~100/yr)
- Institutional Training Grants
 - ~ 350/year



↳ FY2007

- \$764M for training awards
- ~16,000 positions/yr supported on training and fellowship awards





NIH Support for Career Development

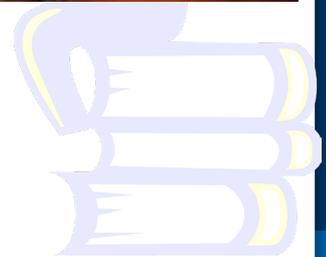
✍ Types of career development awards:

- Clinical Scientists
- Mentored Research
- Specific Focus Areas
- Established Investigators
- Pathways to Independence



✍ FY 2006

- ~ \$650M for all “K” awards
- ~ 4200 “K” awards





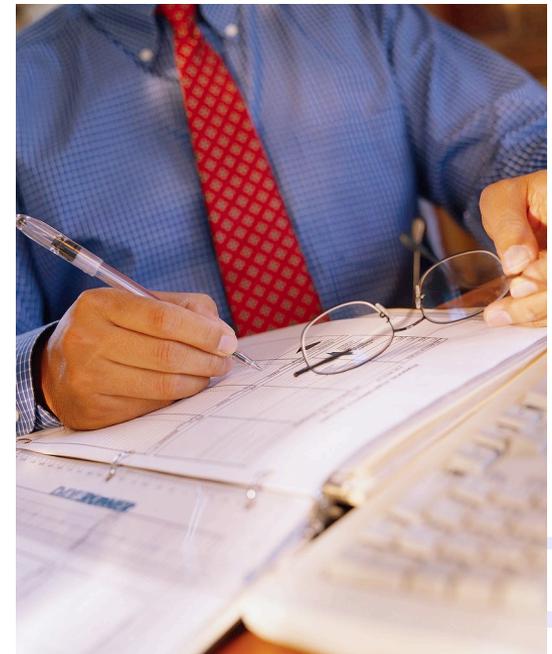
Instruction in Responsible Conduct of Research

Plans required for applications:

- NRSA Awards
- Institutional Training Awards
- Career Development Awards

Plans address

- Training provided by sponsoring institution
- Candidate's plans for obtaining instruction





Instruction in Responsible Conduct of Research

- ✦ NIH does not prescribe specific curriculum
- ✦ NIH does provide general guidance
 - Conflict of interest
 - Responsible authorship
 - Data sharing & management
 - Policies for handling misconduct
 - Policies regarding use of human & animal subjects
- ✦ Plans considered in peer review





Instruction in Responsible Conduct of Research

↳ Mentoring

↳ Online resources

Virginia Commonwealth University

<http://www.courses.vcu.edu/micr510/>

University of Pennsylvania <http://www.bioethics.upenn.edu/>

Princeton Bioethics Forum <http://www.princeton.edu/~bioethic/>

↳ Formal coursework

Columbia University

http://www.cumc.columbia.edu/dept/gsas/ac_programs/rcr-crse.htm





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